

FORM HDP-1449 (Based on Form PTO-1449)  <div style="display: flex; align-items: center; justify-content: center;"> <div> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE CITATION</b>          (Use several sheets if necessary)       </div> </div>		ATTORNEY DOCKET NO. 4392-000001/DVB	SERIAL NO. 10/739,433
Sheet 1 of 2		APPLICANT Shailen V. Banker	
FILING DATE December 18, 2003		GROUP <del>not yet assigned</del>	

2129

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.						

FOREIGN PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes No
1.						

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
1.	PC	Landler, Mark, "Sunny Skies for Europe? Grab That Umbrella", (10/5/03); pp. 1-3; <a href="http://www.nytimes.com/2003/10/05/business/yourmoney/05view.html">www.nytimes.com/2003/10/05/business/yourmoney/05view.html</a>
2.		Bank of Japan, "General Explanation of the Short-term Economic Survey of Enterprises in Japan (Tankan)", (11/01); pp. 1-13; <a href="http://www2.boj.or.jp/en/dlong/tk/faqtk.htm">www2.boj.or.jp/en/dlong/tk/faqtk.htm</a>
3.		ZEW Finanzmarktreport "ZEW Indicator of Economic Sentiment: A Leading Indicator for the German Economy", (2003); pp. 1-2; <a href="http://www.zew.de/en/publikationen/Konjunkturerwartungen/">www.zew.de/en/publikationen/Konjunkturerwartungen/</a> . . .
4.		ZEW Indicator of Economic Sentiment: Signs of Upswing, (7/15/03); p. 1.
5.		The Wall Street Journal Online, "September Manufacturing ISM Report On Business", (10/1/03); pp. 1-14; <a href="http://www.online.wsj.com/documents/bbnapm-20031001.htm">www.online.wsj.com/documents/bbnapm-20031001.htm</a>
6.		Bank of Japan, "FAQ (Frequently Asked Questions) on "Tankan" (Short-term Economic Survey of Enterprises in Japan)", (3/2002), pp. 1-31; <a href="http://www.boj.or.jp/en/stat/exp/faqtk.htm">www.boj.or.jp/en/stat/exp/faqtk.htm</a> .
7.		The Wall Street Journal Online, "Tankan Poll Reflects Optimism, But Yen's Rise Raises Worries", (10/1/03), pp. 1-3; <a href="http://www.online.wsj.com/article_print">www.online.wsj.com/article_print</a>
8.		The Conference Board, "Methodology", (2003), pp. 1-2; <a href="http://www.consumerresearchcenter.org/consumer_confidence/methodology.htm">www.consumerresearchcenter.org/consumer_confidence/methodology.htm</a>
9.	↓	The Conference Board "How to Compute Diffusion Indexes" (2003), pp. 1-2; <a href="http://www.globalindicators.org/methodology/di_computation.cfm">www.globalindicators.org/methodology/di_computation.cfm</a>

Examiner:      /Peter Coughlan/	Date Considered:      11/14/2006
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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
10.	PC	The Wall Street Journal Online, "U.S. Economic Recovery Shows Signs of Weakening", (10/0/03), pp. 1-2; <a href="http://www.online.wsj.com/article_print">www.online.wsj.com/article_print</a>
11.		The Conference Board, "Consumer Confidence Survey Subscription Information", (2003), pp. 1-2; <a href="http://www.consumerresearchcenter.org/consumer_confidence/subscriptionform.htm">www.consumerresearchcenter.org/consumer_confidence/subscriptionform.htm</a>
12.		The Associated Press, "Consumer Confidence Plummets in September", (9/30/03), pp. 1-2; <a href="http://www.nytimes.com/aponline/business/AP-Economy.html">www.nytimes.com/aponline/business/AP-Economy.html</a> .
13.		The Wall Street Journal Online, "ISS Rates Firms - and Sells Roadmap to Boosting Score", (6/6/03), pp. 1-7; <a href="http://www.online.wsj.com/article_print">www.online.wsj.com/article_print</a>
14.		The Conference Board, "Consumer Confidence Falls in September", (9/30/03), pp. 1-2; <a href="http://www.conference-board.org/economics/press.cfm">www.conference-board.org/economics/press.cfm</a> .
15.		The Wall Street Journal Online, "German Economic Outlook Brightens, ZEW Indicator Shows", (7/15/03), pp. 1-2; <a href="http://www.online.wsj.com/article_print">www.online.wsj.com/article_print</a>
16.		Langley, Monica, "Making the Grade: Want to Lift Your Firm's Rating On Governance? Buy the Test"
17.		The Conference Board, "Consumer Confidence Falls In September, The Conference Board Reports", (9/30/03), pp. 1-2; <a href="http://www.consumerresearchcenter.org/consumer_confidence/index">www.consumerresearchcenter.org/consumer_confidence/index</a> .
18.		Hans-Werner Sinn, "Ifo Business Climate: Improvement continues, but only in the expectations", (2003), p. 1; <a href="http://www.cesifo.de/pls/portal30/ifo_apps">www.cesifo.de/pls/portal30/ifo_apps</a>
19.		Ifo Business Climate in industry and trade, (2003), pp. 1-5; <a href="http://www.cesifo.de/pls/portal30/ifo_apps">www.cesifo.de/pls/portal30/ifo_apps</a>
20.		Reszat, Beate, "Tankan" (2003), pp. 1-2; <a href="http://www.hwwa.de/Projects/laD_Programmes/IDSPs/Asia_Gateway">www.hwwa.de/Projects/laD_Programmes/IDSPs/Asia_Gateway</a>
21.	✓	"Calculating the Ifo Business Climate Index", (2003), p. 1; <a href="http://www.cesifo.de/servlet/page">www.cesifo.de/servlet/page</a>

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Not for submission under 37 CFR 1.99)	Application Number		10739433
	Filing Date		2003-12-18
	First Named Inventor	Shailen V. Banker	
	Art Unit	2129	
	Examiner Name	Coughlan, Peter D.	
	Attorney Docket Number	INT-0002	

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	2	5530852		1996-06-25	Meske, Jr., et al.	
	3	5761662		1998-06-02	Dasan	
	4	5799304		1998-08-25	Miller	
	5	6006218		1999-12-21	Breese, et al.	
	6	6112181		2000-08-29	Shear, et al.	
	7	6195657		2001-02-27	Rucker, et al.	
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	2	Lexis-Nexis Law Services, www.lexis-nexis.com, 1 pg. (November 19, 2001).		<input type="checkbox"/>
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Attorney Docket Number	INT-0002

**EXAMINER SIGNATURE**

Examiner Signature	/Peter Coughlan/	Date Considered	11/14/2006
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